

DIRT Committee Minutes -

December 15, 2020 – 1:00 pm

Conference Call-In and Join.Me

Committee Members call in: Don Moore, Scott Gallegos, Ryan Sandhu, Dawn Hickson, Scott Crosby, Phil Boyle, Kitty O’Keefe and Lysay Demko

Guest call in: none

Agenda

- 1) Announcements and Introductions
  - a) Introductions were made at 1:03 p.m.
  - b) Phil moved to accept the minutes from 10/08/20 and Scott G. 2<sup>nd</sup> the motion. Minutes were accepted. Kitty to post on website.
  - c) Chair Statement: Don stated the purpose of this meeting is to see if members wish to have a subcommittee to further discuss the Complaint Process.
  
- 2) Old Business
  
- 3) New Business
  - a) Review the Complaint Process
    - i) Scott G. shared some history with members that the complaint would go thru the Call Center. An RAA might be submitted. Followed by an informal hearing with a panel from the OUNC Board. Panel could make a recommendation to the PUC. Penalty or not would be made. PUC has statutory rights.
    - ii) Discussion of previous PUC rep had had a different view of OUNC involvement with the complaint process and enforcement.
    - iii) Ryan shared what the OUNC wrote in their Strategic Plan (See Attachment 1) pertaining to building a working relationship between the OUNC and the PUC.
    - iv) Don asked if during the Strategic Planning did the OUNC seek legal council? No
    - v) Members agreed, ‘instead of looking what was in the past, let’s move forward’.
    - vi) How do we create a complaint process that brings in more data? This can be a powerful tool. Do we push for mandatory reporting to help collect data?
  - b) Subcommittee:
    - i) Don proposed to create a subcommittee. Who wants to join? Members let him know if they want to participate in that process.
    - ii) Executive Director could help lead this.
    - iii) Members felt this needs to go to the full board for further discussion. Kitty will add a line item titled ‘Exploratory Committee’ to January’s agenda under New Business.
  
- 4) For the Good of the Order –
  
- 5) Next Meeting - tbd

*Meeting adjourned at 1:48 pm. Minutes submitted by Kitty O’Keefe 12.16.20*

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## Coordinated Enforcement

GOAL: Strengthen the relationship between the OUNC and the Public Utilities Commission to more effectively coordinate enforcement activities to ensure regulatory compliance and support the ongoing peer review of investigations and support the compliance of operator practices.

### Rationale:

While the Public Utilities Commission (PUC) has the statutory requirement to investigate locator, excavator and owner-operator for reported cases, the Oregon Utility Notification Board (OUNC) benefits by coordinating their work with the PUC. Beyond compliance with federal regulations, coordination builds a shared understanding of field practices and provides an opportunity to learn how to improve field practices. In turn, this coordination can influence training decisions and rulemaking priorities.

### Objectives:

1. Convene the existing enforcement committee with representatives of the PUC and develop a committee charter that reflects, collaboration, shared learning and agreed to performance outcomes.
2. Establish data collection and analysis procedures to more effectively use data in designing communication, training, and rulemaking programs.
3. Build partnerships with additional stakeholders that might benefit by being involved in this collaborative process.

### How tomorrow will be different:

OUNC and the Public Utilities Commission will effectively coordinate enforcement activities to ensure regulatory compliance and support the ongoing peer review of investigations and support the compliance of operator practices.